

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Heather L. Davis, Robert Whalen, and Michel Marie-Louise
Application No./Patent No.: 10/644,267 Filed/Issue Date: August 20, 2003
Titled: NUCLEOTIDE VECTOR COMPOSITION CONTAINING SUCH VECTOR AND VACCINE FOR IMMUNIZATION AGAINST HEPATITIS
Institut Pasteur, a Non-profit Organization
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest in;
2. ☒ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is 33.33 %); or
3. ☐ an assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above by virtue of either:
 - A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014416, Frame 0846, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
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The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- ☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

E. Boone Foy
Signature
E. BOONE FOY
Printed or Typed Name

March 22, 2010
Date
Inventor Manager
Title
Patents and Trademark
Office

Certificate of Electronic Filing Under 37 CFR 1.8

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Application No./Patent No.: 10/644,267 Filed/Issue Date: August 20, 2003

Titled: **NUCLEOTIDE VECTOR, COMPOSITION CONTAINING SUCH VECTOR AND VACCINE FOR IMMUNIZATION AGAINST HEPATITIS**

Institut National de la Sante et de la Recherche Medicale, a Government Agency
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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OR

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Denise Hirsch Pour le Directeur Général 18 MARS 2010
Signature et par délégation Date
DENISE HIRSCH IP DIRECTOR
Printed or Typed Name Title

INSERM
et de la RECHERCHE MEDICALE
101, rue de Tolbiac
75004 PARIS CEDEX 13

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OR

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

SEAN FLANIGAN
Signature
Printed or Typed Name

APRIL 6 2010
Date
ASSISTANT DIRECTOR TTB
Title

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Dated: APRIL 6 , 2010Signature: [Signature]